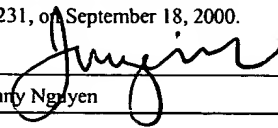


CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231, on September 18, 2000.


Jimmy Nguyen



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Gilles H. TAPOLSKY and David W.
OSBORNE

Serial No.: 09/069,703

Filing Date: April 29, 1998

For: PHARMACEUTICAL CARRIER
DEVICE SUITABLE FOR DELIVERY
OF PHARMACEUTICAL
COMPOUNDS TO MUCOSAL
SURFACES

Examiner: E. Webman

Group Art Unit: 1617

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SEP 29 2000

TECH CENTER 1600/2900

AMENDMENT TRANSMITTAL

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Transmitted herewith is an Amendment in response to the Office Action dated May 2, 2000 in the above-referenced patent application:

- ☒ A verified Statement of Small Entity Status was previously submitted.
- ☒ A Petition for Extension of Time is enclosed. (2 months).
- ☐ No additional fee is required.
- ☒ Other enclosures: Associate Power of Attorney
Supplemental Information Disclosure Statement
PTO-Form 1449 (in duplicate) with (2) Cited References
Return Receipt Postcard

The fee (if any) has been calculated as follows:

| FOR | CLAIMS ON FILE AFTER THIS AMENDMENT MINUS HIGHEST NUMBER PREVIOUSLY PAID FOR | NUMBER EXTRA | RATE | CALCULATIONS |
|--|---|--------------|-------------|--------------|
| TOTAL CLAIMS | 19 - 33 | 0 | x \$18.00 | \$0 |
| INDEPENDENT CLAIMS | 2 - 6 | 0 | x \$78.00 | \$0 |
| MULTIPLE DEPENDENT CLAIM(S) (if not previously paid for and presented for the first time) | | | + \$260.00 | N/A |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$0 |
| Reduction by ½ for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). | | | | \$0 |
| | | | SUB TOTAL = | \$0 |
| Petition for Extension of Time | | | | \$190.00 |
| Supplemental Information Disclosure Statement Fee | | | | \$240.00 |
| | | | TOTAL = | \$430.00 |

- ☒ A check in the amount of \$430.00 is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 03-1952** referencing docket no. 359872000821.

Respectfully submitted,

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SEP 29 2000

Dated: September 18, 2000

By: Catherine J. Kara
Catherine J. Kara
Registration No. 41,106

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